

Application Form For Securities Electronic Trading Services

證券電子交易申請書

1. Customer Particulars 客戶資料

Full Name 客戶全名	Account Number 賬戶號碼

2. Instruction Details 指示詳情

Electronic Trading Services 電子交易服務		
<input type="checkbox"/> Subscribe 申請	<input type="checkbox"/> Cancel 取消	<input type="checkbox"/> With immediate effect 即時生效
		<input type="checkbox"/> Effective 請由_____

If the Customer receive the trading password from the email, please reset your new password immediately.

如客戶以電郵方式接收到交易密碼，請盡快重設新密碼。

Risk Disclosure Statement 風險聲明:

(1) Electronic trading facilities are supported by computer-based component systems for the order-routing, execution, matching, registration or clearing of trades. As with all facilities and systems, they are vulnerable to temporary disruption or failure. The Customer's ability to recover certain losses may be subject to limits on liability imposed by the system provider, the market, the clearing house and/or participant firms. Such limits may vary: the Customer should ask DAS with which the Customer deal for details in this respect. 電子交易設施是由基於電腦部件的系統支援，用以路由、執行、配對、登記指示或結算交易。與所有設施及系統一樣，它們會遭受短暫干擾或失靈。客戶追討若干虧損的能力可能受限於系統供應商、市場、結算行及/或參與就承擔責任而實施的限制。該等限制可能有異，客戶應就此方面向滙業證券詢問詳情。

(2) Trading on an electronic trading system may differ from trading on other electronic trading systems. If the Customer undertakes transactions on an electronic trading system, the Customer will be exposed to risks associated with the system including the failure of hardware and software. The result of any system failure may be that the Customer's order is either not executed according to the Customer's instructions or is not executed at all. 於一個電子交易系統上進行交易可能有別於在其他電子交易系統上進行交易。如果客戶在一個電子交易系統上進行交易，客戶將要面對與系統相關（包括硬件及軟件失靈）的風險。任何系統故障可能導致未能按照客戶的指令執行指示，又或根本沒有執行客戶的指示。

S.V.

Customer / Authorized Person (For Corporate/Institution A/C only)

Date 日期

客戶/獲授權人士 (只適用於公司 / 機構客戶)

For Internal Use Only 內部專用			
AE/RM/CS	Approver 批核者	Maker 處理者	Checker 審核者
Name 姓名 _____	Name 姓名 _____	Name 姓名 _____	Name 姓名 _____
Date 日期 _____	Date 日期 _____	Date 日期 _____	Date 日期 _____